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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**Applicant(s):** Alan Lambardo et al. : **Group Art Unit:** To Be Assigned  
**Serial No.:** 10/676,062 : **Examiner:** To Be Assigned  
**Filed:** October 1, 2003 : **Attorney Docket No.:** 62189.010701  
**For:** BONE PLATE ASSEMBLY PROVIDED WITH SCREW LOCKING MECHANISMS

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**REGULAR MAIL CERTIFICATE**

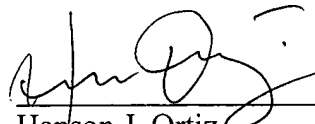
Date of Deposit: March 11, 2005

I hereby certify that the following attached paper(s) and/or fee

- (1) Information Disclosure Statement;
- (2) PTO/SB/08A form (Formerly 1449 form);
- (3) Copies of Cited foreign References;
- (4) Fee Transmittal Form;
- (5) A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

are being deposited with the United States Postal Service Regular Mail Post Office to Addressee service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to Mail Stop Amendment; Commissioner for Patents, P.O. Box 1450; Alexandria, VA 22313-1450.

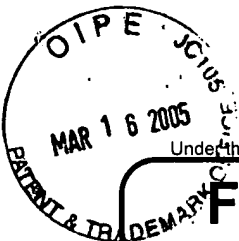
Respectfully submitted,  
**GREENBERG TRAURIG**

  
Hanson J. Ortiz

Dated: March 11, 2005

CORRESPONDENCE:

**GREENBERG TRAURIG**  
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PTO/SB/17 (10-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)

**Complete if Known**

Application Number	10/676,062
Filing Date	October 1, 2003
First Named Inventor	Alan Lambardo et al.
Examiner Name	To Be Assigned
Art Unit	To Be Assigned
Attorney Docket No.	62189.010701

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
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Deposit  
Account  
Name

501561

GREENBERG TRAURIG

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001 790	2001 395	Utility filing fee	
1002 350	2002 175	Design filing fee	
1003 550	2003 275	Plant filing fee	
1004 790	2004 395	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity Fee Code	Small Entity Fee Code	Fee Description	
1202 18	2202 9	Claims in excess of 20	
1201 88	2201 44	Independent claims in excess of 3	
1203 300	2203 150	Multiple dependent claim, if not paid	
1204 88	2204 44	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 430	2252 215	Extension for reply within second month	
1253 980	2253 490	Extension for reply within third month	
1254 1,530	2254 765	Extension for reply within fourth month	
1255 2,080	2255 1,040	Extension for reply within fifth month	
1401 340	2401 170	Notice of Appeal	
1402 340	2402 170	Filing a brief in support of an appeal	
1403 300	2403 150	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,370	2453 685	Petition to revive - unintentional	
1501 1,370	2501 685	Utility issue fee (or reissue)	
1502 490	2502 245	Design issue fee	
1503 660	2503 330	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 790	2809 395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 790	2810 395	For each additional invention to be examined (37 CFR 1.129(b))	
1801 790	2801 395	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

**SUBMITTED BY**

Name (Print/Type)	Barry J. Schindler	Registration No. (Attorney/Agent)	32,938	Telephone	212-801-2244
Signature		Date	March 11, 2005		

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s): Alan Lambardo et al.

: **Group Art Unit:** To Be Assigned

Serial No.: 10/676,062

: **Examiner:** To Be Assigned

Filed: October 1, 2003

:

**Attorney Docket No.:** 62189.010701

**For:** BONE PLATE ASSEMBLY PROVIDED WITH SCREW LOCKING MECHANISMS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed pursuant to 37 C.F.R. 1.97(b)(3). In this regard, it is noted that there has not yet been a mailing of a first Office Action on the merits in the above-identified application.

Of further note, no representation is intended that a search has been made by applicant or that no better art references than those provided herewith are available. Moreover, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

A copy of each reference cited in the attached Form 1449 is included herewith.

No fee is believed to be due in connection with the filing of this paper. However, to the extent that any fee is due in connection with the filing of this paper, please charge such fee to Deposit Account No. 50-1561, in the name of Greenberg Traurig LLP.

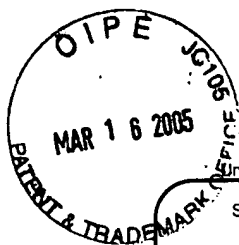
Respectfully submitted,  
GREENBERG TRAURIG

Dated: March 11, 2005

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PTO/SB/08A (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

**Complete if Known**

Application Number	10/676,062
Filing Date	March 11, 2005
First Named Inventor	Alan Lambardo et al.
Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket Number	62189.010701

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1.	US- 6,244,602 B1	05/01/2001	Hayes	
	2.	US- 6,235,033 B1	05/22/2001	Brace et al.	
	3.	US- 6,613,053	02/02/2003	Collins et al.	
	4.	US- 2002/0151899	10/17/2002	Baily et al.	
	5.	US- 5,364,399	11/15/1994	Gary Lowery et al.	
	6.	US- 5,601,553	02/11/1997	Linda Trebing et al.	
	7.	US- 5,234,431	08/10/1993	Arnold Keller et al.	
	8.	US- 5,269,784	12/14/1993	Jeffrey W. Mast	
	9.	US- 5,741,258	04/21/1998	Kaj Klaue et al.	
	10.	US- 6,241,731	06/05/2001	Daniel Fiz	
	11.	US- 6,290,703	09/18/2001	Frank Ganem	
	12.	US- 6,258,089 B1	06/10/2001	Campbell et al.	
	13.	US- 5,954,722	09/21/1999	Bono	
	14.	US- 5,578,034	11/26/1996	Estes	
	15.	US- 5,261,910	11/16/1993	Warden et al.	
	16.	US- 5,681,311	10/28/1997	Foley et al.	
	17.	US- 5,057,111	10/15/1991	Park	
	18.	US- 6,261,291 B1	06/17/2001	Talaber et al.	
	19.	US- 6,193,721 B1	01/27/2001	Michelson	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 3**Complete if Known**

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		Number-Kind Code <sup>2</sup> (if known)			
	20	US- 6,273,889	08/14/2001	Richelsoph	
	21	US- 5,904,683	05/18/1999	Pohndorf et al.	
	22	US- 5,951,558	09/14/1999	Fiz	
	23	US- 3,534,731	02/13/1968	Muller	
	24	US- 6,139,550	10/31/2000	Michelson	
	25	US- 5,549,612	08/27/1996	Yapp et al.	
	26	US- 1,756,239	06/17/1930	I. Muerling	
	27	US- 2,401,856	06/11/1946	W.H.J. Brock	
	28	US- 5,330,535	06/19/1994	Moser et al.	
	29	US- 5,534,027	06/09/1996	Hodorek	
	30	US- 6,235,034	05/22/2001	Bray	
	31	US- 6,017,345	01/25/2000	Richelsoph	
	32	US- 4,794,918	01/03/1989	Wolter	
	33	US- 5,234,431	08/10/1993	Keller	
	34	US- 3,695,259	10/03/1972	Yost	
	35	US- 6,364,880	04/02/2002	Michelson	
	36	US- 6,129,730	10/10/2000	Bono et al.	
	37	US- 6,206,881	03/27/2001	Frigg et al.	
	38	US- 5,344,421	09/06/1994	Crook	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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Sheet 3

of 3

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	39	US- 5,269,784	12/14/1993	Mast	
	40	US- 3,741,205	06/26/1973	Markolf et al.	
	41	US- 5,147,361	09/15/1992	Ojima et al.	
	42	US- 5,964,762	10/12/1999	Biedermann et al.	
	43	US- 5,486,176	01/23/1996	Hildebrand et al.	
	44	US- 6,106,557	08/22/2000	Robioneck et al.	
	45	US- 6,159,213	12/12/200	Rogozinski	
	46	US- 6,193,720	02/27,2001	Yuan et al.	
	47	US- 6,228,085	05/08/2001	Theken et al.	
	48	US- 4,794,913	01/03/1989	Wolter	
	49	US- 6,152,2000	11/28/2000	Farris et al.	
	50	US- 6,206,882	03/27/2001	Cohen	
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		EP 0,502,815 A1	05/02/1992	Moser et al.		

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